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PTO/SB/21 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/674,568		
	Filing Date	September 29, 2003	
	First Named Inventor	Davis, et al.	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission		Attorney Docket Number	6716/ETCH/SILICON

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request to Correct Inventorship (2 pages) Statement of Lian (Exhibit 1) Declarations (Exhibit 2) Consent of Assignee (Exhibit 3)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Keith Taboada, Esq. Moser, Patterson & Sheridan, LLP
Signature	
Date	March 22, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Allyson M. DeVesty		
Signature		Date	March 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130

Complete if Known

Application Number	10/674,568
Filing Date	September 29, 2003
First Named Inventor	Davis, et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	6716/ETCH/SILICON

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number

20-0782

Deposit
Account
Name

Moser, Patterson & Sheridan, LLP

The Director is authorized to: (Check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385					Utility filing fee	
1002	340	2002	170					Design filing fee	
1003	530	2003	265					Plant filing fee	
1004	770	2004	385					Reissue filing fee	
1005	160	2005	80					Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			0		0
			0		0
					0

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9					Claims in excess of 20
1201	86	2201	43					Independent claims in excess of 3
1203	290	2203	145					Multiple dependent claim, if not paid
1204	86	2204	43					** Reissue independent claims over original patent
1205	18	2205	9					** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65					Surcharge - late filing fee or oath	
1052	50	2052	25					Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130					Non-English specification	
1812	2,520	1812	2,520					For filing a request for reexamination	
1804	920*	1804	920*					Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*					Requesting publication of SIR after Examiner action	
1251	110	2251	55					Extension for reply within first month	
1252	420	2252	210					Extension for reply within second month	
1253	950	2253	475					Extension for reply within third month	
1254	1,480	2254	740					Extension for reply within fourth month	
1255	2,010	2255	1,005					Extension for reply within fifth month	
1401	330	2401	165					Notice of Appeal	
1402	330	2402	165					Filing a brief in support of an appeal	
1403	290	2403	145					Request for oral hearing	
1451	1,510	2451	1,510					Petition to institute a public use proceeding	
1452	110	2452	55					Petition to revive - unavoidable	
1453	1,330	2453	665					Petition to revive - unintentional	
1501	1,330	2501	665					Utility issue fee (or reissue)	
1502	480	2502	240					Design issue fee	
1503	640	2503	320					Plant issue fee	
1460	130	1460	130					Petitions to the Commissioner	
1807	50	1807	50					Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180					Submission of Information Disclosure Stmt	
8021	40	8021	40					Recording each patent assignment per property (times number of properties)	
1809	770	2809	385					Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385					For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385					Request for Continued Examination (RCE)	
1802	900	1802	900					Request for expedited examination of a design application	

Other fee (specify) Request to Correct Inventorship Under 37 C.F.R. § 1.48(a)

130

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Keith Taboada, Esq.	Registration No./Attorney/Agent)	45,150	Telephone	(732) 530-9404
Signature				Date	March 22, 2004

260991



REQUEST TO CORRECT INVENTORSHIP

Serial No. 10/674,568

Page 1 of 2

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: **Davis, et al.**

Case: **6716/ETCH/SILICON**

Serial No.: **10/674,568**

Filed: **September 29, 2003**

Examiner: **Unknown**

Group Art Unit: **Unknown**

Title: **METHOD AND SYSTEM FOR MONITORING AN ETCH PROCESS**

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

The correct inventors were not named in the above-mentioned application as originally filed. Please correct the inventorship in the above-referenced patent application by adding the following inventor to the application:

Lei Lian

As per Rule 48(a), the following required addendums are attached to this request:

A statement from Lei Lian indicating that the error in inventorship occurred without any deceptive intention on her part (Exhibit 1);

A declaration by the actual inventors, Matthew Davis, John Yamartino, and Lei Lian, as required by §1.63 (Exhibit 2); and

Written consent of the assignee, Applied Materials, Inc. (Exhibit 3).

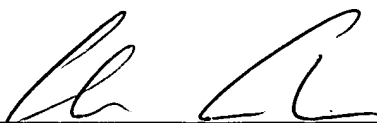
03/25/2004 LWONDIM1 00000053 200782 10674568

01 FC:1460 130.00 DA

The Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782 for the processing fee of \$130.00, as set forth in §1.17(i) for this request, and any other fees required to make this response timely and acceptable to the Office. If the Examiner believes that any unresolved issues still exist, it is requested that the Examiner telephone Mr. Keith Taboada at (732) 530-9404 so that appropriate arrangements can be made for resolving such issues as expeditiously as possible.

Respectfully submitted,

Mar 22, 2004

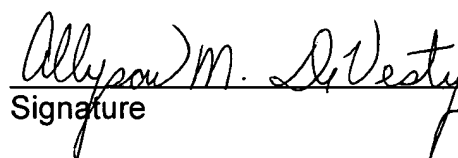


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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

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Signature
3-22-04

Date of signature